



Cboe Australia Business Continuity Plan (BCP) Event - Saturday 4 May 2024 - Reminder

Tuesday 16th April 2024
Reference ID: 0004|24

OVERVIEW

Applicable Cboe Exchanges: Cboe Australia

As a reminder, Cboe Australia will be performing a BCP event to failover from Primary to Standby instances in the Equinix SY5 data centre & to provide an opportunity for testing against the new ASX Cancel Only session state. Following client feedback, failover activities have been re-sequenced to occur later in the testing window and after ASX moving to the Cancel Only session state.

A dress rehearsal of Cboe's interactions with the Cancel Only session state will be run in the CERT environment on Friday 19 April 2024 from 3pm AEST.

Please be aware that all Multicast Market Data clients will receive market data flow during the tests on Saturday 4th May and will therefore need to ensure that their systems handle/ignore the market data flow accordingly.

ADDITIONAL INFORMATION

Scope of the BCP Event

The BCP event will focus on the failover of components from their primary instance to their secondary instance in the Primary data centre (Equinix SY5), including:

- Backend Matching Engine;
- Order entry / execution connections;
- Market Data connections;
- allowance of both order matching and trade reporting;
- testing will be limited to Cboe systems and will not involve trades being sent to CHES;
- all orders and trades processed during the test will be deleted from Cboe Australia systems at the conclusion of the test in readiness for normal trading on Monday 6th May 2024.

Prerequisites for participating in the BCP event

Please [email your request to participate to Cboe Australia](#) and also to your trading application vendor (if applicable) by the end of business **Friday 3rd May 2024** including:

Your firm's primary **contact name, email, phone number** during the test.

Testing Timetable

Cboe Australia's Production system will be open from 08:45 to 14:30 (AEST). Cboe Australia and ASX have aligned their respective BCP testing schedules to best align with client resources. The trading date for all transactions executed on Cboe Australia will be Saturday 4th May 2024.

Trades performed during the test will not flow to CHES.

Test symbols are available during testing. Cboe Australia will not generate orders or trades during this test.

Testing Stage	Suggested Testing baseline
08:45 - Connectivity available for Market data, BOE and FIX based logins;	Commence with normal connectivity to the Primary site
09:15 - Trade reporting enabled;	Enter trade reports with quantities & prices that are representative of normal production transaction volumes.
09:30 – Cboe Australia market open for order entry and continuous trading .	Enter orders & trade reports to generate trades with quantities & prices that are representative of normal production transaction volume.
From 10:50 Cboe responds to ASX BCP scenarios & ASX moves to CANCEL ONLY session state. From 11:10, & once ASX moves to CANCEL ONLY, Cboe to announce a time that the Cboe Australia market will resume trading for the remaining testing time.	Enter orders on Cboe that were originally placed on ASX Trade to generate trades with quantities & prices that are representative of normal production transaction volume.
From 13:10 – Cboe Australia to perform a internal system failover scenario including failover of the matching units, order handler & PITCH market data dissemination processes.	Enter orders & trade reports to generate trades with quantities & prices that are representative of normal production transaction volume.
By 13:45 - Cboe Australia to have completed internal system failover scenarios & continuing to operate until the conclusion of the test. Market data A Feed connections will be disabled and Feed B (at Equinx SY5) Feed E (at the Global Switch DR site) Feed connections will persist; Matching Units & Order Handlers will be failed over from the Primary to Standby instance.	Enter orders & trade reports to generate trades with quantities & prices that are representative of normal production transaction volume.
14:15 – MOC Only	

14:20 – Post Market	<p>All orders remaining in the Cboe market at the end of this period will be automatically cancelled.</p> <p>Last opportunity to complete trade cancellations of trades completed during testing.</p>
14:30 - Market Close. Logins will be disconnected. All order and trade activity performed during the test will be deleted from Cboe Australia systems.	Cboe to conclude testing.

Please contact Cboe Australia Trade Desk with any queries.

We appreciate your business. Our trading community inspires and drives our purpose of building trusted markets.

Cboe Australia Trade Desk

+61 2 8078 1701 | tradedeskau@cboe.com

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